

# Exhibit 4

IN THE UNITED STATES DISTRICT COURT  
FOR THE NORTHERN DISTRICT OF TEXAS  
DALLAS DIVISION

STEPHEN McCOLLUM, STEPHANIE KINGREY, and SANDRA McCOLLUM, individually and as heirs at law in the Estate of LARRY GENE McCOLLUM, Plaintiffs,

**VS.**

CIVIL ACTION NO.

3:12-cv-02037

BRAD LIVINGSTON, JEFF PRINGLE, :  
RICHARD CLARK, KAREN TATE, :  
SANDREA SANDERS, ROBERT EASON, :  
THE UNIVERSITY OF TEXAS :  
MEDICAL BRANCH and the TEXAS :  
DEPARTMENT OF CRIMINAL JUSTICE, :  
Defendants. :

ORAL AND VIDEOTAPED DEPOSITION OF  
THE DESIGNATED REPRESENTATIVE OF  
THE UNIVERSITY OF TEXAS MEDICAL BRANCH  
BY AND THROUGH  
GLENDA ADAMS, M.D.

NOVEMBER 19, 2013

ORAL AND VIDEOTAPED DEPOSITION OF THE DESIGNATED REPRESENTATIVE OF THE UNIVERSITY OF TEXAS MEDICAL BRANCH BY AND THROUGH GLENDA ADAMS, M.D., produced as a witness at the instance of the Plaintiffs, and duly sworn, was taken in the above-styled and numbered cause on Tuesday, November 19, 2013, from 11:11 a.m. to 6:03 p.m., before Mary C. Dopico, Certified Shorthand Reporter No. 463 and Notary Public in and for the State of Texas, reported by machine shorthand and audio/video recording at the offices of Rebecca Sealy Hospital, 404 8th Street, Room, 4.204, Galveston, Houston, Texas, pursuant to Notice and the Federal Rules of Civil Procedure and the provisions stated on the record or attached hereto.

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1 Mr. George Crippen from TDCJ, Chris Black  
2 from TDCJ, Dr. Carol Cogli -- Coglianesse from TDCJ,  
3 Dr. Kathryn Buskirk from TDCJ. There may be others. I  
4 don't recall the names of any more at this moment.

5 Q. Okay. How often does this committee meet?

6 A. It meets monthly.

7 Q. Monthly? Are notes taken?

8 A. The minutes are very short. It indicates how  
9 many deaths were re -- reviewed and how many were sent  
10 to one of the university peer review committees.

11 MS. COOGAN: Can we take a bathroom  
12 break?

13 MR. EDWARDS: Of course.

14 THE VIDEOGRAPHER: Off the record at  
15 12:08.

16 (Off the record from 12:08 - 12:20.)

17 THE VIDEOGRAPHER: This is videotape  
18 number 2, 12:20, on the record.

19 Q. (By Mr. Edwards) We -- When we broke, we  
20 were talking about the morbidity committee?

21 A. Mortality and morbidity.

22 Q. Sorry. Mortality and Morbidity Committee.  
23 What is the purpose of that committee?

24 A. It reviews all deaths within TDCJ.

25 Q. What is the purpose of reviewing -- why do you

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1 do that?

2 A. To ensure that the standard of medical care  
3 was met.

4 Q. Did somebody review Mr. McCollum's death?

5 MS. COOGAN: Objection, privileged; and  
6 we will not be answering any questions about the M and M  
7 committee.

8 (INSTRUCTION NOT TO ANSWER.)

9 MR. EDWARDS: Do you consider the M and M  
10 committee a peer review committee? Because I thought  
11 what Dr. Adams said was that they decide whether or not  
12 they send it to peer review.

13 Q. (By Mr. Edwards) Is that -- That was your  
14 testimony; correct?

15 A. Yes.

16 Q. Okay.

17 A. They do the initial quality review, and then  
18 if -- then it goes to the individual universities to  
19 review.

20 Q. Is it fair to say that if -- once they do  
21 their initial review, then they determine whether or not  
22 it needs to go on to peer review if they find there is a  
23 problem with quality?

24 MS. COOGAN: And I object. Really, not  
25 to be difficult, but we consider the M and M committee

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1 to be privileged. And so unless we have a Court order,  
2 we're not going to answer -- we're going to assert that  
3 privilege under the Texas Health and Safety Code.

4 (INSTRUCTION NOT TO ANSWER.)

5 Q. (By Mr. Edwards) If I ask you any questions  
6 about the Mortality and Morbidity Committee, you're not  
7 going to answer them on the advice of your counsel?

8 A. Individual cases, correct.

9 Q. Okay. All right. Let's talk about globally.  
10 Heat -- Heat -- Hyperthermia, are all  
11 those cases evaluated for evidence of whether or not  
12 there was good or bad medical care provided?

13 A. Do you mean deaths from hyperthermia?

14 MS. COOGAN: Is that the --

15 MS. MOLINARE: Yes.

16 MS. COOGAN: Okay.

17 A. All deaths go through --

18 MS. COOGAN: Okay. I'm going to assert  
19 the privilege of the M and M committee with regard to  
20 the heat deaths.

21 MR. EDWARDS: Okay.

22 MS. COOGAN: And anything that is not  
23 part of the M and M committee, I'm going to ask her to  
24 go ahead and answer.

25 Q. (By Mr. Edwards) Do you evaluate correctional

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1 officers as well as health care providers' roles in the  
2 deaths when you're doing mortality and morbidity review?

3 MS. COOGAN: Same privilege.

4 MR. EDWARDS: You're -- you're saying  
5 that a correctional officer has a peer review privilege?

6 MS. COOGAN: I'm saying that if -- you  
7 said -- you said "you," and I assume you meant the M and  
8 M committee in your question. Is that -- Am I assuming  
9 that correctly?

10 MR. EDWARDS: "You" is UTMB.

11 MS. COOGAN: Okay. Well, maybe -- maybe  
12 you could reask the question. You said "you," and I  
13 found that -- I -- okay. Objection, vague.

14 MR. EDWARDS: That's great.

15 Q. (By Mr. Edwards) Do you review the roles of  
16 correctional officers when you're making determinations  
17 regarding this morbidity and mortality assessment?

18 MS. COOGAN: Objection, privilege  
19 asserted.

20 Q. (By Mr. Edwards) Or just medical providers?

21 MS. COOGAN: Same -- same objection.  
22 Same privilege asserted.

23 MR. EDWARDS: Okay. Is it your position,  
24 Ms. Coogan, that correctional officers have a peer  
25 review privilege?

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1 MS. COOGAN: I -- It is my position that  
2 the M and M committee and its discussions are  
3 privileged --

4 MR. EDWARDS: Okay.

5 MS. COOGAN: -- period.

6 MR. EDWARDS: All right.

7 MS. COOGAN: And if there are discussions  
8 about correctional officers within that committee  
9 meeting, that those are also privileged.

10 MR. EDWARDS: Okay. And that -- You're  
11 making that argument even though there is a separate  
12 peer review process that UTMB embraces --

13 MS. COOGAN: I am --

14 MR. EDWARDS: -- correct?

15 MS. COOGAN: I am making that privilege,  
16 asserting that privilege. I am.

17 MR. EDWARDS: And you are instructing  
18 Dr. Adams, as the corporate rep of UTMB, not to answer  
19 any questions about the correctional officers?

20 MS. COOGAN: I am asking -- asserting the  
21 privilege as to the M and M committee and all the  
22 contents of those meetings, papers, documents,  
23 discussions. And so if you're asking a question about a  
24 correctional officer in the context of those meetings,  
25 I'm asserting the privilege.

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1 I don't know the answers to the  
2 questions.

3 Q. (By Mr. Edwards) Okay. Did UTMB ever  
4 evaluate whether or not there was -- there was a -- a  
5 medical care issue with regards to Mr. McCollum?

6 A. Did UTMB --

7 Q. Yeah.

8 A. -- ever evaluate?

9 MS. COOGAN: Objection, I'm -- I'm going  
10 to assert the privilege out of an abundance of caution.

11 MR. EDWARDS: Is -- Is it your position,  
12 then, that an analysis of heat deaths due to housing  
13 conditions is privileged and cannot be explored and UTMB  
14 will not testify about it? Is that what you're saying?

15 MS. COOGAN: I am not asserting the  
16 privilege in that way, no.

17 MR. EDWARDS: Okay. But you're not --  
18 you're not going to talk about any UTMB analysis of the  
19 deaths by heatstroke in this deposition --

20 MS. COOGAN: To the extent --

21 MR. EDWARDS: -- except for  
22 Mr. McCollum's?

23 MS. COOGAN: To the extent you're asking  
24 a question about a committee meeting or the contents of  
25 a committee meeting or discussions or papers related to

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1 a committee meeting, we assert the privilege.

2 If there was something outside of a  
3 committee meeting, we don't assert the privilege.

4 Does that help?

5 Q. (By Mr. Edwards) Did you base your testimony  
6 at all on information you've learned in mortality and  
7 morbidity review meetings?

8 A. No.

9 Q. Did you participate in mortality and morbidity  
10 review meetings with regards to Mr. McCollum?

11 A. I don't recall.

12 Q. Would there be a record of that?

13 MS. COOGAN: Objection, privileged.

14 MR. EDWARDS: No, that's not privileged.

15 Q. (By Mr. Edwards) Would there be a record if  
16 there was a discussion of Mr. McCollum?

17 MS. COOGAN: Objection, to the extent --  
18 I assert the privilege. You're ask --

19 MR. EDWARDS: You can only assert the  
20 privilege if there was, in fact, a discussion.

21 MS. COOGAN: I assert the privilege for  
22 anything surrounding an M and M meeting, including the  
23 contents of the meeting, the participants in the  
24 meeting, the minutes of the meeting -- papers, letters,  
25 discussions, anything related to a meeting, I'm going to

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1 assert a privilege to.

2 Q. (By Mr. Edwards) Did UTMB analyze whether or  
3 not there was -- there was a medical care -- was there a  
4 medical care fault with regard to Mr. McCollum?

5 MS. COOGAN: In an M and M meeting?

6 MR. EDWARDS: No. Generally. Any -- in  
7 any meeting.

8 MS. COOGAN: To the extent it -- there --  
9 you know about in an M and M meeting, please don't  
10 answer it. If there's something outside of the meeting,  
11 then we don't assert the privilege; and you should  
12 answer it.

13 A. Okay. I do not know if Mr. McCollum's death  
14 specifically was discussed by UTMB outside mortality,  
15 morbidity, possibly peer reviews.

16 On the unit, there would have been a unit  
17 review of Mr. McCollum's death; and if there were any  
18 concerns, that would have been sent up the chain for  
19 additional review.

20 Q. (By Mr. Edwards) Do you know if they were  
21 sent up the chain?

22 A. It was --

23 Q. I'm asking you, U -- does UTMB know?

24 A. Does UTMB know?

25 Q. Yes.

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1 A. As -- As far as I know, I -- I can't answer  
2 that question. I don't know.

3 Q. Okay. All right. What is Dr. Linthicum's  
4 role in correctional managed care policy?

5 A. Dr. Linthicum is the deputy director of health  
6 services for TDCJ. Well, not the --

7 Q. What -- What's her --

8 A. -- deputy director. She's the director.

9 Q. What is her role in how correctional managed  
10 care policies are made?

11 A. She has final approval. She also determines  
12 who will be the chair of the various joint committees.

13 Q. What -- What joint committees are we --  
14 What -- What are the joint committees, if you know?

15 A. Mortality review, pharmacy and therapeutics,  
16 policy and procedure, infection control. Those are the  
17 primary ones. I may have missed...

18 Q. Okay. Let me just go through in case you  
19 didn't.

20 A. Okay.

21 Q. Mortality review?

22 A. Uh-huh.

23 Q. Pharmacy review?

24 A. Pharmacy and therapeutics.

25 Q. Policies and procedures?

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1 A. The correction -- the joint Policy and  
2 Procedure Committee.

3 Q. And that would be UTMB, TDCJ and Correctional  
4 Managed Care?

5 A. Texas Tech.

6 Q. And Texas Tech. The health care providers and  
7 the security apparatus responsible for the system?

8 A. Security is not involved in the health  
9 policies.

10 Q. I'm sorry. The medical aspect of the Texas  
11 Department of Criminal Justice; right?

12 A. Correct.

13 Q. Okay. And that's a good point.

14 Is Director Livingston involved in -- at  
15 all in these policy? Do you know?

16 A. I wouldn't have that information.

17 Q. You -- You have no idea?

18 A. No.

19 Q. All right. Tell me -- tell me what 15.2 is,  
20 tell me what these different policies are, and then tell  
21 me what changes were made and why they were made,  
22 please.

23 A. Okay. Correctional managed health care policy  
24 15.2, actually, this is the Hutchins Unit facility  
25 policy --

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1 Q. Okay.

2 A. -- which is essentially identical to the  
3 organizational policy.

4 Q. Okay. And what was the effect -- effective  
5 date of that, ma'am?

6 A. Of the -- 10-99.

7 Q. Okay.

8 A. And it was reviewed in 11-09.

9 Q. Okay. And to the best of your knowledge, was  
10 that in effect at the time of Mr. McCollum's housing in  
11 the Hutchins Unit?

12 A. Yes.

13 Q. Okay. And how has that been changed since his  
14 death?

15 A. There's a section 9 that's been added called  
16 "Reporting" that states that "Facility medical staff  
17 shall complete the heat-related reporting form,  
18 Attachment C, for each case of heat cramps, heat  
19 exhaustion, heatstroke or neuroleptic malignant  
20 syndrome. The complete form is e-mailed to health  
21 services liaison" -- that's TDCJ Health Services  
22 liaison -- "via EMR e-mail or faxed to the office of  
23 health services liaison at 936-437-3599."

24 Q. Okay. And when did that go into effect?

25 A. 10-15-2012.

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1 Q. That went into effect after these lawsuits  
2 were filed; right? If you know.

3 A. I don't know --

4 Q. Okay.

5 A. -- when the lawsuits were filed.

6 Q. Okay. What's the point of that change? Like  
7 why did you guys decide that was important?

8 A. At the Policy and Procedure Committee, I'm not  
9 sure who presented this recommended change, but I feel  
10 certain it was someone from TDCJ, since they are the one  
11 who are collecting the reports. TDCJ Health Services.  
12 I'm sorry. Okay. And I assume the new --

13 Q. Dr. Adams, I'm not so concerned with --

14 MS. COOGAN: Excuse me. Please let  
15 the -- please -- please let the witness finish her  
16 answer. What's good for the goose is good for the  
17 gander, please. Please let the witness finish her  
18 answer. Please.

19 Q. (By Mr. Edwards) I'm trying to help you, but  
20 that's okay. Sure.

21 A. Anyhow, TDCJ Health Services felt that we  
22 should be tracking all heat illnesses and not just heat  
23 deaths.

24 Q. Do you know why you weren't tracking heat  
25 illnesses prior to October 15th, 2012?

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THE UNIVERSITY OF TEXAS :  
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DEPARTMENT OF CRIMINAL JUSTICE, :  
Defendants. :  
.....

REPORTER'S CERTIFICATION  
TO THE  
ORAL AND VIDEOTAPED DEPOSITION OF  
THE DESIGNATED REPRESENTATIVE OF  
THE UNIVERSITY OF TEXAS MEDICAL BRANCH  
BY AND THROUGH  
GLENDA ADAMS, M.D.  
NOVEMBER 19, 2013

.....  
I, Mary C. Dopico, Certified Shorthand. Reporter  
in and for the State of Texas, do hereby certify that  
the facts stated by me in the caption hereto are true;  
that the foregoing deposition of THE DESIGNATED  
REPRESENTATIVE OF THE UNIVERSITY OF TEXAS MEDICAL BRANCH  
BY AND THROUGH GLENDA ADAMS, M.D., the witness  
hereinbefore named, was taken by me in machine  
shorthand, the said witness having been by me first duly  
cautioned and sworn to tell the truth, the whole truth,  
and nothing but the truth, and later transcribed from my  
machine shorthand notes to typewritten form by me.

I further certify that the above and foregoing

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1 deposition, as set forth in typewriting, is a full, true  
2 and correct transcript of the proceedings had at the  
time of taking said deposition.

3 I further certify that pursuant to FRCP Rule  
4 30(f)(1) that the signature of the deponent was  
requested by the deponent or a party before the  
5 completion of the deposition and returned within 30 days  
from date of receipt of the transcript. If returned,  
6 the attached Changes and Signature Pages contain any  
changes and the reasons therefor;

7 I further certify that I am neither attorney or  
8 counsel for, nor related to or employed by any of the  
parties to the action in which this deposition is taken,  
9 and further that I am not a relative or employee of any  
attorney or counsel employed by the parties hereto, or  
financially interested in the action.

10 I further certify that charges for the preparation  
11 of the foregoing completed deposition were \$ \_\_\_\_\_  
12 for the original thereof, charged to Attorney(s) for  
Plaintiffs.

13 GIVEN UNDER MY HAND AND SEAL OF OFFICE this the 3rd  
14 day of December, 2013.

15  
16  
17 Mary C. Dopico, CSR, RPR, CRR  
CSR No. 463, Exp. 12-31-2014  
Notary Public, State of Texas  
18 Commission Expires 1-31-2017

19 Independent Contractor To:  
Wright, Watson & Associates  
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